PTO-1390 (Rev. 12-2004) Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

U. S. Patent and Trademark Onice; U.S. DEFACTIVENT OF COMMERCE والمحافظة المحافظة ا 3868-0160PUS1 U.S. APPLICATION NO. (ILK now no see 37/2 FR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. 3 August 2002 25 July 2003 MEDICAMENT AND PROCESS FOR REDUCING ALCOHOL AND/OR TOBACCO PCT/EP2003/008236 TITLE OF INVENTION Joachim MOORMANN; Klaus OPITZ; and Hermann MUCKE CONSUMPTION APPLICANT(S) FOR DO/EO/US Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. x This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. X The US has been elected (Article 31). 5. x A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). b. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). C. Х is attached hereto. Х a. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) b 7. X are attached hereto (required only if not communicated by the International Bureau). a. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. b. C. x have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). d. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: x An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12 x | A preliminary amendment. 13. x An Application Data Sheet under 37 CFR 1.76. 14. A substitute specification. 15. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 16. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 17. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 18. 19. Other items or information: PCT/IPEA/409; PCT/ISA/210 20.

## DT12 Rec'd PCT/PTO 0 1 FEB 2005

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known seed 7 3868-0160PUS1 PCT/EP2003/008236 Office Use Only Applicant Use The following fees are submitted: 300.00 a) Basic national fee ......\$300.00 х 200.00 Х 500.00 c) Search fee .......\$500.00 1,000.00 \$1000.00 TOTAL OF ABOVE CALCULATIONS = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE thereof (round up to a whole number Extra sheets **Total Sheets** x \$250.00 /50 = - 100 = 16 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months 130.00 from the earliest claimed priority date (37 CFR 1.492 (e)). NUMBER FILED | NUMBER EXTRA RATE 0.00 X 19 - 20 = 0.00 Total claims x 2 - 3 =Independent claims + MULTIPLE DEPENDENT CLAIM(s) (if applicable) 1,130.00 TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above 565.00 are reduced by 1/2. 565.00 SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months

Processing fee of \$130.00 for furnishing the English translation later than 30 months +		
from the earliest claimed priority date (37 CFR 1.492 (f)).  TOTAL NATIONAL FEE = \$	565.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	705.00	
accompanied by an appropriate cover show (**  TOTAL FEES ENCLOSED = \$	565.00	
Amount to be refunded:		\$
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NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been most be filed and granted to restore the International Application to pending status.	Soft	
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	nes M. Slattery	
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CUSTOMER NUMBER: 02292	28,380	
February 1, 2005 REGISTRATION	NUMBER	
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Docket No.: 3868-0160PUS1

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Joachim MOORMANN et al.

Application No.: Not Yet Assigned

Confirmation No.: N/A

Filed: February 1, 2005

Art Unit: N/A

For: MEDICAMENT AND PROCESS FOR REDUCING ALCOHOL AND/OR TOBACCO CONSUMPTION

Examiner: Not Yet Assigned

**LETTER** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The PTO is requested to use the amended sheets/claims attached hereto (which correspond to Article 19 amendments or to claims attached to the International Preliminary Examination Report (Article 34)) during prosecution of the above-identified national phase PCT application.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37.C.F.R. §1.16 or 1.14; particularly, extension of time fees.

Dated: February 1, 2005

Respectfully submitted,

James M. Slattery

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